

SEP 9 2007

Sheet 1 of 1

Substitute Form PTO-1449

(Modified)

U.S. Department of Commerce  
Patent and Trademark Office

Attorney's Docket No.

21121-007US1/2507US

Application No.

09/601,997

**List of Patents and Publications for Applicant's  
Information Disclosure Statement**

(37 CFR §1.98(b))

Applicant

James Keck et al.

Filing Date

December 15, 2000

Group Art Unit

1635

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
/JE/	AA	6,074,667	6/13/2000	Kinnunen et al.	424	450	05/21/98
/JE/	AB	6,605,429	8/12/2003	Barber et al.	435	6	01/21/98

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
/JE/	AC	94/26877	11/24/94	WIPO				

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
/JE/	AD	Baldick, C., et al., "Mutational analysis of the core, spacer, and initiator regions of vaccinia virus intermediate-class promoters," <i>J. Virol.</i> , 66(8):4710-4719, (1992).
	AE	Balroch, A., "The PROSITE dictionary of sites and patterns in proteins, its current status" <i>Nucleic Acids Research</i> , 21(13):3097-3103, (1993).
	AF	Coombs, J., <i>Dictionary of Biotechnology</i> , 2nd ed., pp 261-262, (definition of "phenotype") (1992).
	AG	Lackie, J. and T. Dow(eds.), <i>Dictionary of Cell Biology</i> , 2nd. Ed., p277, (definition of phenotype) (1995).
	AH	Matsuda, H., et al., "An approach to detection of protein structural motifs using an encoding scheme of backbone conformations," <i>Pacific Symposium on Biocomputing</i> , 280-291, (1997).
	AI	Sambrook, J., et al. Chapter 16, <i>Molecular Cloning Manual</i> , Cold Spring Harbor, N.Y.:Cold Spring Harbor Laboratory, (1989).
/JE/	AJ	Welsh, J. and T. McClelland, "Genomic fingerprinting using arbitrarily primed PCR and a matrix of pairwise combinations of primers," <i>Nucleic Acids Research</i> , 19(19):5275-5279, (1991).

Examiner Signature

/Janet Epps Ford/

Date Considered

11/15/2007

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	F&R No. 17111-007US1 / 2307US	Application No. 09/601,997
<b>List of Patents and Publications for Applicant's Information Disclosure Statement</b>  (37 CFR 1.98(b))		Applicant James Keck, et. al	
		Filing Date December 15, 2000	Group Art Unit 1635

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
		None					

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
		None						

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
/JE/	AA	Ho et al., "Potent antisense oligonucleotides to the human multidrug resistance-1 mRNA are rationally selected by mapping RNA-accessible sites with oligonucleotide libraries," Nucleic Acids Research 24(10):1901-1907 (1996)
/JE/	AB	Taber et al., "Catalytic antisense RNAs produced by incorporating ribozyme cassettes into cDNA," Gene 108:175-183 (1991)
/JE/	AC	Zhou et al., "Inhibition of HIV-1 in human T-lymphocytes by retrovirally transduced anti-tat and rev hammerhead ribozymes," Gene 149:33-39 (1994)

Examiner Signature  /Janet Epps Ford/	Date Considered  11/15/2007
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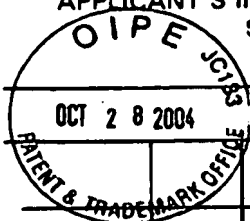
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24743-2307US

SERIAL NO.  
09/601,997

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

APPLICANT  
Keck *et al.*

FILING DATE  
December 15, 2000

GROUP  
~~1645~~ 1635


		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB CLASS	Translation	
													Yes	No
/JE/	X	0	7	0	7	0	7	1	04/17/96	EP (A1)				
	Y	2	3	1	9	7	7	3	06/03/98	GB (A)				
	Z	4	4	2	4	7	6	2	07/27/95	DE (C1)				X*
	AA	9	2	0	1	7	8	6	02/06/92	PCT (A1)				
	AB	9	4	1	3	8	3	3	06/23/94	PCT (A1)				
	AC	9	4	2	0	6	1	8	09/15/94	PCT (A1)				
	AD	9	4	2	6	8	7	7	11/24/94	PCT (A1)				
	AE	9	4	2	8	1	5	2	12/08/94	PCT (A1)				X*
	AF	9	5	1	4	0	9	1	05/26/95	PCT (A2)				
	AG	9	5	1	4	1	0	1	05/26/95	PCT (A1)				X*
	AH	9	5	1	4	1	0	2	05/26/95	PCT (A1)				X*
	AI	9	6	0	1	3	1	4	01/18/96	PCT (A2)				X*
	AJ	9	6	0	5	3	2	1	02/22/96	PCT (A1)				X*
	AK	9	6	0	9	3	9	2	03/28/96	PCT (A1)				
	AL	9	6	3	8	5	5	3	12/05/96	PCT (A1)				
	AM	9	7	0	0	3	2	6	01/03/97	PCT (A1)				
	AN	9	7	2	7	2	1	2	07/31/97	PCT (A1)				
	AO	9	7	2	7	2	1	3	07/31/97	PCT (A1)				
	AP	9	8	3	2	8	8	0	07/30/98	PCT (A1)				
/JE/	AQ	9	8	5	0	5	3	0	11/12/98	PCT (A2)				

X\* = An English language derwent is provided

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	Abraham <i>et al.</i> , "Signal transduction through the T-cell antigen receptor," <i>Trends in Biochemical Sciences</i> 17: 434-8 (1992).
	AS	Ausubel <i>et al.</i> (Eds.), <i>Current Protocols in Molecular Biology</i> New York: John Wiley & Sons, 1994.

EXAMINER

Janet L. Epps-Ford

DATE CONSIDERED

12-31-02

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